

## Statement Showing Shareholding Pattern

Name of the Company: GLOBUS SPIRITS LIMITED

Scrip Code-533104, Name of the scrip-GLOBUSSPR, class of security: Equity

Quarter ended: 30TH SEPTEMBER, 2013

Partly paid-up shares:-	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter/promoter group		0	0
Held by public		0	0
<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>

Outstanding convertible securities:- (Compulsorily Cumulative Convertible Preference Shares) (CCCPS)	No. of outstanding securities (CCCPS)	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/promoter group			
Held by public	5038168	100	17.49
<b>Total</b>	<b>5038168</b>	<b>100</b>	<b>17.49</b>

Warrants:- (Convertible into Equity Shares)	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by promoter/promoter group	763359	100	2.65
Held by public			
<b>Total</b>	<b>763359</b>	<b>100</b>	<b>2.65</b>

Total paid-up capital of the company, assuming full conversion of warrants and convertible securities

28799268 shares (i.e. Total Paid up capital is Rs.28,79,92,680/-)

For Globus Spirits Limited

*Handwritten Signature*  
Company Secretary

# Statement Showing Shareholding Pattern

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Category code	Category of Shareholder	Number of Shareholders	Total number of shares	Number of shares held in dematerialized form	Table (I)(a)			
					Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	
					As a percentage of (A+B) <sup>1</sup>	As a percentage of (A+B+C)	Number of shares	As a percentage
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)= (VIII)/(IV)*100
<b>(A)</b>	<b>Shareholding of Promoter and Promoter Group<sup>1</sup></b>							
<b>1</b>	<b>Indian</b>							
(a)	Individuals/ Hindu Undivided Family	8	3297000	3297000	14.34	14.34		0.00
(b)	Central Government/ State Government(s)				0.00	0.00		0.00
(c)	Bodies Corporate	4	12143105	12143105	52.80	52.80		0.00
(d)	Financial Institutions/ Banks				0.00	0.00		0.00
(e)	Any Others(Specify)				0.00	0.00		0.00
(e-i)				0	0.00	0.00		0.00
(e-ii)					0.00	0.00		0.00
	<b>Sub Total(A)(1)</b>	<b>12</b>	<b>15440105</b>	<b>15440105</b>	<b>67.14</b>	<b>67.14</b>	<b>0</b>	<b>0.00</b>
<b>2</b>	<b>Foreign</b>							
a	Individuals (Non-Residents Individuals/ Foreign Individuals)				0.00	0.00		0.00
b	Bodies Corporate				0.00	0.00		0.00
c	Institutions				0.00	0.00		0.00
d	Qualified Foreign Investor				0.00	0.00		0.00
e	Any Others(Specify)				0.00	0.00		0.00
e-i					0.00	0.00		0.00
e-ii								
	<b>Sub Total(A)(2)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>

For Globus Spirits Limited

*Handwritten Signature*  
Company Secretary

	<b>Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)</b>	<b>12</b>	<b>15440105</b>	<b>15440105</b>	<b>67.14</b>	<b>67.14</b>	<b>0</b>	<b>0.00</b>
<b>(B)</b>	<b>Public shareholding</b>							
<b>I</b>	<b>Institutions</b>							
(a)	Mutual Funds/ UTI	4	3796091	3796091	16.52	16.52		
(b)	Financial Institutions / Banks	2	6789	6789	0.00	0.00		
(c)	Central Government/ State Government(s)	0	0	0	0.00	0.00		
(d)	Venture Capital Funds				0.00	0.00		
(e)	Insurance Companies				0.00	0.00		
(f)	Foreign Institutional Investors	3	375360	375360	1.40	1.40		
(g)	Foreign Venture Capital Investors				0.00	0.00		
(h)	Qualified Foreign Investor				0.00	0.00		
(i)	Any Others(Specify)				0.00	0.00		
(i-ii)					0.00	0.00		
(i-ii)								
	<b>Sub-Total (B)(1)</b>	<b>9</b>	<b>4178240</b>	<b>4178240</b>	<b>18.17</b>	<b>18.17</b>		
<b>B 2</b>	<b>Non-institutions</b>							
(a)	Bodies Corporate	263	482278	482278	2.10	2.10		
(b)	Individuals				0.00	0.00		
<b>I</b>	Individuals -i. Individual shareholders holding nominal share capital up to Rs 1 lakh	8551	2195775	2195506	9.55	9.55		
<b>II</b>	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	19	416117	416117	1.81	1.81		
(c)	Qualified Foreign Investor				0.00	0.00		
(d)	Any Other (specify)				0.00	0.00		
(d-i)	Clearing Member	153	81072	81072	0.35	0.35		
(d-ii)	Non Resident Indians (REPAT)	183	160023	160023	0.70	0.70		
	Non Resident Indians ( NON REPAT)	49	41226	41226	0.18	0.18		
	Directors / Relatives	4	2905	2905	0.01	0.01		
	<b>Sub-Total (B)(2)</b>	<b>9222</b>	<b>3379396</b>	<b>3379127</b>	<b>14.69</b>	<b>14.69</b>		
<b>(B)</b>	<b>Total Public Shareholding (B)= (B)(1)+(B)(2)</b>	<b>9231</b>	<b>7557636</b>	<b>7557367</b>	<b>32.86</b>	<b>32.86</b>		
	<b>TOTAL (A)+(B)</b>	<b>9243</b>	<b>22997741</b>	<b>22997472</b>	<b>100.00</b>	<b>100.00</b>		

For Globus Spirits Limited

Company Secretary

(C)	Shares held by Custodians and against which Depository Receipts have been issued							
1	Promoter and Promoter Group					0.00		0.00
2	Public					0.00		0.00
	Sub-Total (C )	0	0	0		0	0	
	GRAND TOTAL (A)+(B)+(C)	9243	22997741	22997472	100.00	100.00	0	0.00

For Globus Spirits Limited

*Abhi*  
Company Secretary

(I)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Sr. No.	Name of the shareholder	Details of Shares held		Encumbered shares (*)			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares held	As a % of grand total (A) +(B) +( C )	No.	As a percentage	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a )	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class	
(I)	(II)	(III)	(IV)	(V)	(VI)=(V)/(III)*100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	CHANDBAGH INVESTMENTS LTD	11367510	49.43		0.00	0.00	763359	100	0	0	42.12
2	AJAY KUMAR SWARUP	1649820	7.17		0.00	0.00	0				5.73
3	ANOOB BISHNOI	1619820	7.04		0.00	0.00	0				5.62
4	GLOBUS INFOSYS PVT LTD	538854	2.34		0.00	0.00	0				1.87
5	JARODA PLANTATIONS PVT LTD	236741	1.03		0.00	0.00	0				0.82
6	SHEKHAR SWARUP	27000	0.12		0.00	0.00	0				0.09
7	BHUPENDRA KUMAR BISHNOI	90	0.00		0.00	0.00	0				0.00
8	ROSHNI BISHNOI	90	0.00		0.00	0.00	0				0.00
9	MADHAV KUMAR SWARUP	60	0.00		0.00	0.00	0				0.00
10	MADHAVI SWARUP	60	0.00		0.00	0.00	0				0.00
11	SAROJ RANI SWARUP	60	0.00		0.00	0.00	0				0.00
<b>TOTAL</b>		<b>15440195</b>	<b>67.14</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>763359</b>	<b>100</b>	<b>0</b>	<b>0</b>	<b>56.26</b>

(\*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011

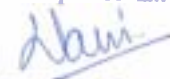
For Globus Spirits Limited

*Abhi*  
Company Secretary

(I)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Details of convertible		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	SBI EMERGING BUSINESSES FUND	1916091	8.33	0	0	0	0	6.65
2	IDFC PREMIER EQUITY FUND	1820000	7.91	0	0	0	0	6.32
3	Templeton Strategic Emerging Markets Fund IV, LDC	0	0.00	0	0	5038168	100	17.49
<b>TOTAL</b>		<b>3736091</b>	<b>16.25</b>	<b>0</b>	<b>0</b>	<b>5038168</b>	<b>100</b>	<b>30.47</b>

For Globus Spirits Limited

  
Company Secretary

(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Details of convertible		Total shares (including underlying shares assuming full conversion of warrants and convertible
				Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	SBI EMERGING BUSINESSES FUND	1916091	8.33	0	0	0	0	6.65
2	IDFC PREMIER EQUITY FUND	1820000	7.91	0	0	0	0	6.32
3	Templeton Strategic Emerging Markets Fund IV, LDC	0	0.00	0	0	5038168	100	17.49
<b>TOTAL</b>		<b>3736091</b>	<b>16.25</b>	<b>0</b>	<b>0</b>	<b>5038168</b>	<b>100</b>	<b>30.47</b>

For Globus Spirits Limited

Company Secretary



(I)(d) Statement showing details of locked-in shares

Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Promoter / promoter Group / Public
1	AJAY KUMAR SWARUP	1649820	7.17	PROMOTERS
2	ANOOP BISHNOI	1619820	7.04	PROMOTERS
3	BHUPENDRA KUMAR BISHNOI	23	0.00	PROMOTERS
4	CHANDBAGH INVESTMENTS LTD	11367510	49.43	PROMOTERS COMPANY
5	MADHAV KUMAR SWARUP	15	0.00	PROMOTERS
6	MADHAVI SWARUP	15	0.00	PROMOTERS
7	ROSHNI BISHNOI	22	0.00	PROMOTERS
8	SAROJ RANI SWARUP	15	0.00	PROMOTERS
9	SHEKHAR SWARUP	27000	0.12	PROMOTERS
<b>TOTAL</b>		<b>14664240</b>	<b>63.76</b>	<b>PROMOTERS</b>

Note : Apart from the above lock-in shares, the company has allotted 7,63,359 warrants convertible into equity shares & 5038168 compulsorily cumulative convertible preference shares on 19th March 2013, which are held under lock-in for a minimum period of 1 year from the date of allotment.

For Globus Spirits Limited

  
Company Secretary



(II)(a) Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1				0.00
2				0.00
3				0.00
4				0.00
5				0.00
6				0.00
7				0.00
8				0.00
9				0.00
<b>TOTAL</b>		<b>0</b>	<b>0</b>	<b>0.00</b>

For Globus Spirits Limited

  
Company Secretary

(II)(b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1				0.00
2				0.00
3				0.00
4				0.00
5				0.00
6				0.00
7				0.00
8				0.00
9				0.00
<b>TOTAL</b>			<b>0</b>	<b>0.00</b>

For Globus Spirits Limited

  
Company Secretary